

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of  
Chang et al

Serial No: 10/126,790

Filed: April 19, 2002

For: COMBINATION OF  
BRIMONIDINE AND TIMOLOL FOR  
TOPICAL OPHTHALMIC USE

Group Art Unit: 1614

Examiner: Brian S. Kwon

ASSOCIATE POWER OF ATTORNEY

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned attorney of record in the above-identified application hereby appoints Brent A. Johnson (Registration No. 51,851) as Associate Agent in said application with power to prosecute the application, make alterations and amendments therein, and transact all business in the Patent and Trademark Office relating to the application.

Please address all future correspondence in the above-identified application to:

Brent A. Johnson  
Allergan, Inc.-T2-7H  
2525 Dupont Drive  
Irvine, CA 92612

Date: January 2, 2003

By: \_\_\_\_\_

*RJ Baran*  
Robert J. Baran  
Attorney of Record  
Registration No. 25,806  
Direct: (714) 246-4669  
Facsimile: (714)-246-4249

Attorney Docket No. 17501(AP)

Serial No. 10/126,790

Filed: April 19, 2002

**DECLARATION - U.S.A Application**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am an inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled COMBINATION OF BRIMONIDINE AND TIMOLOL FOR TOPICAL OPHTHALMIC USE.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application (s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.

Filing Date

I hereby appoint Robert Baran, Registration No. 25,806, Martin A. Voet; Registration No. 25,208; Carlos A. Fisher, Registration No. 36,510, Stephen Donovan, Registration No. 33,433 and Cynthia H. O'Donohue, Registration No. 44,980 as attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO AND DIRECT TELEPHONE CALLS TO:

Robert J. Baran, Esq.(T2-7H)

ALLERGAN, INC.

Legal Department

2525 Dupont Drive


Irvine, CA 92612

Telephone: (714) 246-4669

Facsimile: (714) 246-4249

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

<b>FULL NAME OF FIRST INVENTOR:</b>			
First Name: Chin-Ming	Initial	Last Name Chang	
<b>RESIDENCE &amp; CITIZENSHIP</b>			
City Tustin	State or Foreign Country California	Country of Citizenship Taiwan	
<b>POST OFFICE ADDRESS</b>			
Post Office Address 11645 Maynard Avenue	City Tustin	State or Country California	Zip Code 92782
SIGNATURE OF FIRST INVENTOR <i>Chin Ming Chang</i>		DATE: 06 June, 2002	
<b>FULL NAME OF SECOND INVENTOR:</b>			
First Name: Gary	Initial J.	Last Name Beck	
<b>RESIDENCE &amp; CITIZENSHIP</b>			
City Fullerton	State or Foreign Country California	Country of Citizenship USA	
<b>POST OFFICE ADDRESS</b>			
Post Office Address 2085 Smokewood Ave.	City Fullerton	State or Country California	Zip Code 92681 92831 96/02
SIGNATURE OF SECOND INVENTOR <i>Gary Beck</i>		DATE: 06 June 02	
<b>FULL NAME OF THIRD INVENTOR:</b>			
First Name: Cynthia	Initial C.	Last Name Pratt	
<b>RESIDENCE &amp; CITIZENSHIP</b>			
City Mission Viejo	State or Foreign Country California	Country of Citizenship USA	
<b>POST OFFICE ADDRESS</b>			
Post Office Address 23436 Ancia Lane	City Mission Viejo	State or Country California	Zip Code 92691
SIGNATURE OF THIRD INVENTOR <i>Cynthia Pratt</i>		DATE: 3 May 2002	

<b>FULL NAME OF FOURTH INVENTOR:</b>			
<b>First Name:</b> Amy		<b>Initial</b> L.	<b>Last Name</b> Batoosingh
<b>RESIDENCE &amp; CITIZENSHIP</b>			
<b>City</b> Mission Viejo	<b>State or Foreign Country</b> California		<b>Country of Citizenship</b> USA
<b>POST OFFICE ADDRESS</b>			
<b>Post Office Address</b> 28472 Casanal	<b>City</b> Mission Viejo	<b>State or Country</b> California	<b>Zip Code</b> 92692
<b>SIGNATURE OF FOURTH INVENTOR</b> 		<b>DATE:</b> 6 Jun 2002	



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

RECEIVED 17501

AUG 28 2002 RJB

LEGAL/PATENTS

AUGUST 20, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

ALLERGAN, INC.  
ROBERT J. BARAN (T2-7H)  
2525 DUPONT DR.  
IRVINE, CA 92612



\*102130548A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/14/2002

REEL/FRAME: 012999/0312  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
CHANG, CHIN-MING

DOC DATE: 06/06/2002

ASSIGNOR:  
BECK, GARY J.

DOC DATE: 06/06/2002

ASSIGNOR:  
PRATT, CYNTHIA C.

DOC DATE: 05/03/2002

ASSIGNOR:  
BATOOSINGH, AMY L.

DOC DATE: 06/06/2002

ASSIGNEE:  
ALLERGAN SALES, LLC  
2525 DUPONT DRIVE  
IRVINE, CALIFORNIA 92612

SERIAL NUMBER: 10126790  
PATENT NUMBER:

FILING DATE: 04/19/2002  
ISSUE DATE:

012999/0312 PAGE 2

JOANN STEWART, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

06-21-2002

17501(AP)

RECOF



IEET

102130548

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AUG 28 2002

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To: The Commissioner of Patents and Trademarks,

Please record the attached original document(s) or copy(ies):

1. Submission Type:

6-14-02

☒ new☐ Correction of PTO error (Reel /frame )☐ Corrective Document (Reel /frame )

2. Conveyance Type:

☒ Assignment☐ License☐ Merger☐ Security Agreement☐ Change of Name☐ Other: \_\_\_\_\_

OFFICE OF PUBLIC RECORDS  
 2002 JUN 14 AM 7:06  
 FINANCE SECTION

3.

CONVEYING PARTIES	
Names of Conveying Parties	Date of Conveyance
1. Chin-Ming Chang	June 6, 2002
2. Gary J. Beck	June 6, 2002
3. Cynthia C. Pratt	May 3, 2002
4. Amy L. Batoosingh	June 6, 2002

☐ Additional Conveying Parties Attached

06/20/2002 TDI A71 00000152 010885 10126790

01 FC:581 40.00 CH

RECEIVING PARTIES
Names of Receiving Parties
Name Allergan Sales, LLC
Address 1 2525 Dupont Drive
Address 2 Irvine, CA 92612

☐ Additional Receiving Parties Attached

☐ If document is an assignment and the Receiving Party is not domiciled in the United States, an appointment of a Domestic Representative is attached.

5.

DOMESTIC REPRESENTATIVE NAME AND ADDRESS	
Name	
Address 1	
Address 2	

6.

CORRESPONDENCE NAME AND ADDRESS	
Name Robert J. Baran (T2-7H)	
Address 1. Allergan, Inc.	
Address 2. 2525 Dupont Drive, Irvine, CA 92612	
Telephone 714-246-4669 and Fax 714-246-4249	

7. Total Number of pages of the conveying document, including attachments: 5 pages

8.

APPLICATION NUMBER OR PATENT NUMBER (either; not both for same property)	
Application Number 10/126,790	Patent Number
Application Number	Patent Number

9. If this document is being filed with a NEW patent application, enter the Docket No., Title of the Invention, and date of execution of the Assignment by the first inventor:

Title of Patent Application: \_\_\_\_\_  
 Docket No.: \_\_\_\_\_  
 Date of Execution by First Inventor: \_\_\_\_\_

10. Total Number of Properties Involved: 111. The fee amount (37 CFR §3.41) of \$ 40.00

☒ may be debited from our Deposit Account No. 01-0885.  
☐ is enclosed as check no. \_\_\_\_\_.

12. ☒ The Commissioner is authorized to deduct any additional fee amounts due in connection with the filing of this document from Deposit Account No. 01-0885.

To the best of my information and belief, all statements made herein are true, and any attached copy is a true copy of the original document.

Respectfully submitted,

SIGNATURE Rf Baran Date 6/7/02  
 TYPED or PRINTED NAME: Robert J. Baran. REGISTRATION NO. 25,806

CERTIFICATE OF MAILING  
 I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX ASSIGNMENT, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 ON 6/7/2002 (Date)  
 Name of person making deposit: Bonnie Ferguson  
 Signature: Bonnie Ferguson Date 6/7/2002



RECEIVED

AUG 28 2002 Docket No. 17501(AP)

ASSIGNMENT  
LEGAL/PATENTS

WHEREAS we, Chin-Ming Chang of ORANGE COUNTY, CALIFORNIA; Gary J. Beck of ORANGE COUNTY, CALIFORNIA; Cynthia C. Pratt of ORANGE COUNTY, CALIFORNIA and Amy L. Batoosingh of ORANGE COUNTY, CALIFORNIA (hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled: COMBINATION OF BRIMONIDINE AND TIMOLOL FOR TOPICAL OPHTHALMIC USE for which an application for Letters Patent of the United States was filed on April 19, 2002 under application number 10/126,790.

WHEREAS: Allergan Sales, LLC, having its principal place of business at 2525 Dupont Drive, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and any corresponding foreign application filed or to be filed in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said applications or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said applications, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said applications, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

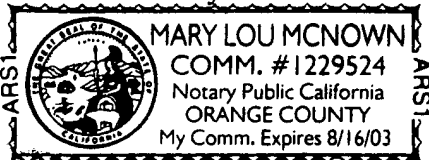
06 June, 2002.

Chin-Ming Chang  
Chin-Ming Chang

State of CALIFORNIA )  
 ) ss:  
County of ORANGE )

On JUNE 6, 2002 before me, MARY LOU MCNOWN  
personally appeared CHIN-MING CHANG  
~~personally known to me (or proved to me on the basis of satisfactory evidence)~~ to be the  
person(s) whose name(s) ~~is/are~~ subscribed to the within instrument and acknowledged  
to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and  
that by ~~his/her/their~~ signature(s) on the instrument the person, or the entity upon  
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Mary Lou McNowN  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

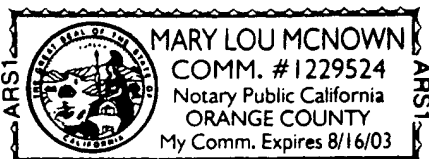
06 June, 2002.

Gary J. Beck  
Gary J. Beck

State of CALIFORNIA )  
 ) ss:  
County of ORANGE )

On JUNE 6, 2002 before me, MARY LOU MCNOWN  
personally appeared GARY J. BECK  
~~personally known to me (or proved to me on the basis of satisfactory evidence)~~ to be the  
person(s) whose name(s) ~~is/are~~ subscribed to the within instrument and acknowledged  
to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and  
that by ~~his/her/their~~ signature(s) on the instrument the person, or the entity upon  
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Mary Lou McNowN  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

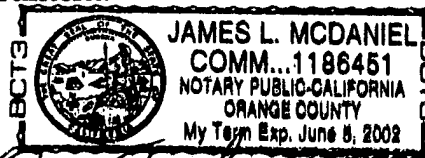
3 May, 2002.

Cynthia C. Pratt  
Cynthia C. Pratt

State of CA )  
 ) ss:  
County of ORANGE )

On MAY 3, 2002 before me, JAMES L MCDANIEL, NOTARY PUBLIC  
personally appeared CYNTHIA C PRATT  
~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the  
person(s) whose name(s) ~~is/are~~ subscribed to the within instrument and acknowledged  
to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and  
that by ~~his/her/their~~ signature(s) on the instrument the person, or the entity upon  
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



James L. McDaniel  
Notary Public

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

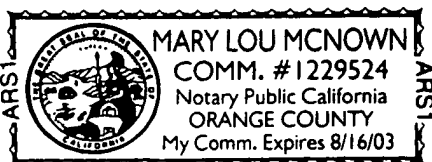
6 June 2002, 2002.

Amy L. Batoosingh  
Amy L. Batoosingh

State of CALIFORNIA )  
 ) ss:  
County of ORANGE )

On JUNE 6, 2002 before me, MARY LOU MC NOWN  
personally appeared AMY L. BATOSINGH  
~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the  
person(s) whose name(s) ~~is/are~~ subscribed to the within instrument and acknowledged  
to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and  
that by ~~his/her/their~~ signature(s) on the instrument the person, or the entity upon  
behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Mary Lou McNown  
Notary Public